DATE OF DEPOSIT: 10/18/2001

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PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032 U.S Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

UTILITY Attorney Docket No.

PATENT APPLICATION TRANSMITTAL

049450-00170 Aleem Gangjee First Inventor Multiple Acting Anti-Angiogenic and Cytotoxic Compounds and Methods for Using the Same

EL701525264US Express Mail Label No. (Only for new nonprovisional applications under 37 CFR 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Box Patent Application See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or an original and a duplicat Computer Program (Appendix) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) pecification [Total Pages referred arrangement set forth below) Specification Computer Readable Form (CRF) - Descriptive title of the invention b. Specification Sequence Listing on: - Cross Reference to Related Applications i. D CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, ii. D paper or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention Brief Summary of the Invention
 Brief Description of the Drawings # filed) ACCOMPANYING APPLICATION PARTS - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement Power of - Abstract of the Disclosure Attorney (when there is an assignee) English Translation Document(if applicable) 4. Drawing(s) (35 U.S.C. 113) [Total Sheets Copies of IDS Information Disclosure 5. Oath or Declaration [Total Pages Citations Statement (IDS)/PTO-1449 Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) Preliminary Amendment Return Receipt Postcard (MPEP 503) 14. (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) DELETION OF INVENTOR(S) 15. Signed statement attached deleting inventor(s) Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. Application Data Sheet. See 37 CFR 1.76 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Continuation-in-part (CIP) of prior application No. For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 003705 1 Correspondence address below Customer Number or Bar Code Label Name **Address** State City Zip Code Country Telephone Fax Debra Z. Anderson, 44,506 Name (Print/Type) Registration No. (Attorney/Agent) Signature Date

Burden Hour Statement: This form is estimated to take 0.2 hours to domplete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

| PTO/SB/17 | (11-00 |
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| Approved for use through 10/31/2002. OMB 065 | 1-003 |
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

| \$) | 622.00 |
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| Ψ) | 022.00 |

| Complete if Known | | |
|----------------------|---------------|--|
| Application Number | | |
| Filing Date | | |
| First Named Inventor | Aleem Gangjee | |
| Examiner Name | | |
| Group Art Unit | | |
| Attorney Docket No. | 049450-00170 | |

| METHOD OF PAYMENT | FEE CALCULATION (continued) | | | |
|--|--|------|--|--|
| The Commissioner is hereby authorized to charge | 3. ADDITIONAL FEES | | | |
| Indicated fees and credit any overpayments to: Deposit | Large Small | Ì | | |
| Account Number 02-2556 | Entity Entity Fee | Paid | | |
| Deposit | Code (\$) Code (\$) | | | |
| Account Name Eckert Seamans Cherin & Mellott | 105 130 205 65 Surcharge - late filing fee or oath | | | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet | | | |
| Applicant claims small entity status. | 139 130 139 130 Non-English specification | | | |
| See 37 CFR 1.27 2. Payment Enclosed: | 147 2,520 147 2,520 For filing a request for ex parte reexamination | | | |
| Check Coredit card Money | 112 920* 112 920* Requesting publication of SIR prior to Examiner action | | | |
| FEE CALCULATION | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action | | | |
| 1. BASIC FILING FEE | 115 110 215 55 Extension for reply within first month | | | |
| Large Entity Small Entity | 116 390 216 195 Extension for reply within second month | | | |
| Fee Fee Fee Fee Description | 117 890 217 445 Extension for reply within third month | | | |
| 104 740 004 055 1111 51 6 | 118 1,390 218 695 Extension for reply within fourth month | | | |
| 101 710 201 355 Utility filing fee 370.00 | 128 1,890 228 945 Extension for reply within fifth month | | | |
| 107 490 207 245 Plant filing fee | 119 310 219 155 Notice of Appeal |] | | |
| 108 710 208 355 Reissue filing fee | 120 310 220 155 Filing a brief in support of an appeal | | | |
| 114 150 214 75 Provisional filing fee | 121 270 221 135 Request for oral hearing | | | |
| 21177774 (4) (4) 270.00 | 138 1,510 138 1,510 Petition to institute a public use proceeding | | | |
| SUBTOTAL (1) (\$) 370.00 | 140 110 240 55 Petition to revive - unavoidable | | | |
| 2. EXTRA CLAIM FEES Fee from | 141 1,240 241 620 Petition to revive - unintentional | | | |
| Extra Claims below Fee Paid | 142 1,240 242 620 Utility issue fee (or reissue) | ! | | |
| Total Claims 34 -20** = 14 X 9 = 126.00 Independent 3 ** - 2 126.00 126.00 | 143 440 243 220 Design issue fee | | | |
| Claims | 144 600 244 300 Plant issue fee | | | |
| Multiple Dependent = | 122 130 122 130 Petitions to the Commissioner | | | |
| Lorna Entitu C II C. IV. | 123 130 123 130 Petitions related to provisional applications | | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | 126 180 126 180 Submission of Information Disclosure Stmt | | | |
| Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 | 581 40 581 40 Recording each patent assignment per property (times number of properties) | | | |
| 102 80 202 40 Independent claims in excess of 3 | 146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a)) | | | |
| 104 270 204 135 Multiple dependent claim, if not paid | 149 710 249 355 For each additional invention to be | | | |
| 109 80 209 40 ** Reissue independent claims over original patent | examined (37 CFR § 1.129(b)) | | | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | 179 710 279 355 Request for Continued Examination (RCE) | | | |
| and over original patent | 169 900 169 900 Request for expedited examination of a design application | | | |
| SUBTOTAL (2) (\$) 252.00 | Other fee (specify) | | | |
| **or number previously paid, if greater; For Reissues, see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) | 0.00 | | |
| or number previously paid, if greater, FOI Reissues, see above | ODIOINE(3) It | | | |

| SUBMITTED BY | | | | | Complete (ii | f applicable) |
|-------------------|------------------|---------|-----------------------------------|--------|--------------|---------------|
| Name (Print/Type) | Debra Z Anderson | | Registration No. (Attorney/Agent) | 44,506 | Telephone | 412.566.1910 |
| Signature | Dely 3. | Underso | | | Date | 18/18/2001 |

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NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

| First Named Inventor | | | Aleem Gangjee |
|----------------------|-------|--------------------------------|--|
| | Title | Multiple Acting Compounds a | Anti-Angiogenic and Cytotoxic and Methods for Using the Same |
| Atty Docket Number | | Oocket Number | 049450-00170 |

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

10/18/200/ Date

Debra Z. Anderson, Reg. No. 44,506

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.